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NOTICE OF ALLOWANCE AND FEE(S) DUE

22428

7590

06/19/2006

FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

| EXAMINER | | | | | |
|----------|--------------|--|--|--|--|
| SMITH, | JEFFREY A | | | | |
| ART UNIT | PAPER NUMBER | | | | |

3625

DATE MAILED: 06/19/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/884 940 | 06/21/2001 | Tomihiko Azuma | 029471-0152 | 3888 |

TITLE OF INVENTION: VOICE SIGNATURE TRANSACTION SYSTEM AND METHOD

| APPLN. TYPE | APPLN. TYPE SMALL ENTITY | | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------------------|--------|-----------------|------------------|------------|
| nonprovisional | NO · | \$1400 | \$300 | \$1700 | 09/19/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

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| FOLEY AND LARDN SUITE 500 3000 K STREET NW WASHINGTON, DC 200 | | | | c | this Fee(s) Transmittal is being with sufficient postage for fiail Stop ISSUE FEE address SPTO (571) 273-2885, on the | emission |
| WASHINGTON, DC 200 | 007 | | | | | (Depositor's name) |
| | | | | | | (Signature) |
| | | | · | | | (Date) |
| APPLICATION NO. | FILING DATE | I | FIRST NAMED | INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 09/884,940 | 06/21/2001 | | Tomihiko | | 029471-0152 | 3888 |
| TITLE OF INVENTION: VOICE S | SIGNATURE TRANS | ACTION SYSTE | M AND MET | HOD | _ | |
| APPLN. TYPE S | MALL ENTITY | ISSUE FE | E | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | NO | \$1400 | | \$300 | \$1700 | 09/19/2006 |
| EXAMINER | | ART UN | TT . | CLASS-SUBCLASS | | |
| SMITH, JEFFREY | Y A | 3625 | | 705-026000 | | |
| Change of correspondence addres CFR 1.363). Change of correspondence ad Address form PTO/SB/122) attaction (or PTO/SB/47; Rev 03-02 or more Number is required. ASSIGNEE NAME AND RESID PLEASE NOTE: Unless an ass recordation as set forth in 37 CF (A) NAME OF ASSIGNEE | ddress (or Change of Ched. "Fee Address" Indicarecent) attached. Use | Correspondence tion form of a Customer E PRINTED ON T | (1) the nan or agents O (2) the nam registered a 2 registered listed, no no note that will appear a substitute for a substitute for a substitute of the part of th | ear on the patent. If an assifor filing an assignment. NCE: (CITY and STATE OF | s a member a 2ames of up to If no name is 3gnee is identified below, the accountry) | _ |
| Please check the appropriate assign | ee category or categor | ies (will not be pri | nted on the pa | atent): 🗆 Individual 🗖 | Corporation or other private g | roup entity Government |
| 4a. The following fee(s) are enclosed: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies | | | Payment l | n the amount of the fee(s) is by credit card. Form PTO-20 | 38 is attached. | edit any overpayment, to tra copy of this form). |
| 5. Change in Entity Status (from s a. Applicant claims SMALL | ENTITY status. See 3 | 7 CFR 1.27. | b. Applica | ant is no longer claiming SM | ALL ENTITY status. See 37 (| |
| The Director of the USPTO is reque NOTE: The Issue Fee and Publicati interest as shown by the records of | ested to apply the Issu on Fee (if required) w the United States Pate | e Fee and Publicat ill not be accepted nt and Trademark | ion Fee (if and I from anyone Office. | y) or to re-apply any previou other than the applicant; a re- | usly paid issue fee to the applic egistered attorney or agent; or | cation identified above. the assignee or other party in |
| Authorized Signature | | - | <u>-</u> | Date | | |
| Typed or printed name | | <u>-</u> | | Registration | n No | |

this torm and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandra, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandra, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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| FOLEY AND LARDNER LLP | | | | SMITH, JEFFREY A | | |
| SUITE 500 | | | | ART UNIT | PAPER NUMBER | |
| 3000 K STREET NW WASHINGTON, DC 20007 | | | 3625 DATE MAILED: 06/19/2000 | 6 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 786 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 786 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.